

Case No. 78384 18-32 US DIV1

**EFILED
COMMISSIONER FOR PATENTS**

In re Application of: **PHILLIPS ET AL.**

Serial No.: **10/706,142**

Filed: **11/12/2003**

For: **METHODS FOR FORMING SECURITY ARTICLES HAVING DIFFRACTIVE SURFACES AND COLOR SHIFTING BACKGROUNDS**

Sir:

Transmitted herewith is an INFORMATION DISCLOSURE STATEMENT in the above-identified application.

1. This IDS is submitted under 37 C.F.R. § 1.97. No fee is required.
2. The requisite fee in the amount of \$180.00 is being paid on EFS web.
3. This IDS is submitted under 37 C.F.R. § 1.97 (c) and (e). No fee is required.
4. This IDS is submitted under 37 C.F.R. § 1.97 (d) and (e). Authorization is given to charge Deposit Account **50-1465** in the amount of \$180.00 to cover the processing fee.
5. The Commissioner is hereby authorized to charge or credit any discrepancies in fee amounts to Deposit Account No. **50-1465**.

Date: August 25, 2008

/CHRISTOPHER F. REGAN/

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:) Atty Docket No.:
PHILLIPS ET AL.) **78384 18-32 US DIV1**
Serial No. **10/706,142**)
Filing Date: **11/12/2003**)
Confirmation No. **6069**)
For: **METHODS FOR FORMING SECURITY**)
ARTICLES HAVING DIFFRACTIVE)
SURFACES AND COLOR SHIFTING)
BACKGROUNDS)

)

CITATION UNDER 37 CFR § 1.97 No. 7

EFILED

Commissioner of Patents

Sir:

Attached is Form PTO-1449A listing several references for consideration in the examination of the above-identified application. In accordance with current USPTO procedures published 05AUG2003, in 1276 OG 55, copies of the U.S. patent documents cited in the form PTO-1449A are not attached. The undersigned would be happy to provide copies of these references if requested. Copies of non-U.S. patent literature, if any, are attached. It is requested that this reference be considered by the Examiner and officially made of record in accordance with the provisions of 37 CFR § 1.97 and Section 609 of the MPEP.

This submission is made in accordance with the provisions of 37 CFR §1.97(c). The fee in the amount of \$180.00 is being paid on EFS web. If any additional extension and/or fee is required, or if any additional fee for claims is required, authorization is given to charge Deposit Account No. 50-1465.

Respectfully submitted,

/CHRISTOPHER F. REGAN/

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